



# CERTIFICATE OF MAILING

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April 20, 2000

Date of Deposit

Paul E. Rauch, Ph.D.

Name of Applicant, Assignee or  
Registered Representative

*[Signature]*

Signature

4/20/2000

Date of Signature

# 2019  
4/29/00

Our Case No. 10200/11

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: James M. CLEEVES )

Serial No. : 08/581,347 )

Filing Date: December 29, 1995 )

Examiner : Ryan, V. )

For : WAFER TEMPERATURE  
CONTROL APPARATUS AND  
METHOD )

Group Art Unit No.: 1641 )

## PRELIMINARY AMENDMENT

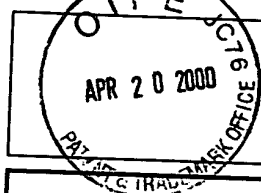
Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Prior to examination on the merits, please amend the above-identified application as follows:

Date of Deposit: April 20, 2000

"Express Mail" mailing label number EL265700943US



**CONTINUED PROSECUTION APPLICATION (CPA)  
REQUEST TRANSMITTAL**

Submit an original, and a duplicate for fee processing.  
(Only for Continuation or Divisional applications under 37 C.F.R. § 1.53(d))

CHECK BOX, if applicable:

☐ DUPLICATE

RECEIVED

Address to:

Assistant Commissioner for Patents

Box CPA  
Washington, DC 20231

Attorney Docket No.  
of Prior Application

10200/11

First Named Inventor

James M. CLEEVES

Examiner Name

V. Ryan

Group/Art Unit.

1641

Express Mail Label No.

EL265700943US

This is a request for a ☒ continuation or ☐ divisional application under 37 C.F.R. § 1.53(d), (continued prosecution application (CPA)) of prior application number 08/581,347, filed December 29, 1995, entitled **WAFER TEMPERATURE CONTROL APPARATUS AND METHOD.**

**NOTES**

**FILING QUALIFICATIONS:** The prior application identified above must be a nonprovisional application that is either: (1) complete as defined by 37 C.F.R. § 1.51(b), or (2) the national stage of an international application in compliance with 35 U.S.C. § 371.

**C-I-P NOT PERMITTED:** A continuation-in-part application cannot be filed as a CPA under 37 C.F.R. § 1.53(d), but must be filed under 37 C.F.R. § 1.53(b).

**EXPRESS ABANDONMENT OF PRIOR APPLICATION:** The filing of this CPA is a request to expressly abandon the prior application as of the filing date of the request for a CPA. 37 C.F.R. § 1.53(b) must be used to file a continuation, divisional, or continuation-in-part of an application that is not to be abandoned.

**ACCESS TO PRIOR APPLICATION:** In a CPA, no reference to the prior application is needed in the first sentence of the specification and none should be submitted. If a sentence referencing the prior application is submitted, it will not be entered. A request for a CPA is the specific request required by 35 U.S.C. § 120 and to every application assigned the application number identified in such request, 37 C.F.R. § 1.78(a).

1. ☐ Enter the unentered amendment previously filed on \_\_\_\_\_ under 37 C.F.R. § 1.116 in the prior nonprovisional application.
2. ☒ A preliminary amendment is enclosed.
3. This application is filed by fewer than all the inventors named in the prior application, 37 C.F.R. § 1.53(d)(4).
  - a. ☐ **DELETE** the following inventor(s) named in the prior nonprovisional application:  
\_\_\_\_\_
  - b. ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
4. ☐ A new power of attorney or authorization of agent is enclosed.
5. Information Disclosure Statement (IDS) is enclosed:
  - a. ☐ PTO-1449
  - b. ☐ Copies of IDS Citations

00000169 08581347

04/25/2000 WRM11

01 FC:131  
02 FC:102

690.00 OP  
78.00 OP

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 C.F.R. § 1.16(c))	20 - 20 =	0	x \$ 18.00	\$
	INDEPENDENT CLAIMS (37 C.F.R. § 1.16(b))	4 - 3 =	1	x \$ 78.00	78.00
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 C.F.R. § 1.16(d))			+ \$ 260.00	
				BASIC FEE (37 C.F.R. § 1.16(a))	690.00
	Reduction by 50% for filing by small entity (Note 37 C.F.R. §§ 1.9, 1.27, 1.28).			Total of above Calculations =	
				TOTAL =	\$768.00

6. Small entity status:

- a. ☐ A small entity statement is enclosed.
- b. ☐ A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired.
- c. ☐ Is no longer claimed.

7. The Commissioner is hereby authorized to credit overpayments or charge the following fees to  
Deposit Account No. 23-1925:


- a. ☒ Fees required under 37 C.F.R. § 1.16.
- b. ☐ Fees required under 37 C.F.R. § 1.17.
- c. ☐ Fees required under 37 C.F.R. § 1.18.

8. ☒ A check in the amount of \$ 768 is enclosed.

9. ☐ Other: \_\_\_\_\_

**NOTE:**

*The prior application's correspondence address will carry over to this CPA  
UNLESS a new correspondence address is provided.*

10. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED	
NAME	Paul E. Rauch, Ph.D.
SIGNATURE	
Registration No.	38,591
DATE	April 20, 2000

**Brinks Hofer Gilson & Lione**  
P.O. Box 10395  
Chicago, IL 60610